

Attorney Docket No. 20807-0009
U.S. Application No. 09/828,444
SEP 30 2002
PATENT & TRADEMARK OFFICE 1997

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Curt V. Avallone)
Application No.: 09/828,444) Group Art Unit: 3621
Filed: 04-06-2001) Examiner: F. Backer

For: METHOD AND SYSTEMS FOR PROVIDING PERSONALIZED
INFORMATION TO USERS IN A COMMERCIAL ESTABLISHMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

SIR:

The following are included with this Information Disclosure Statement:

A. PTO/SB/08

B. CERTIFICATION

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

C. \$180.00 FEE PAYMENT

(37 C.F.R. 1.97(c)-before final Office Action or allowance)

D. \$130.00 PETITION, CERTIFICATION & PETITION FEE
PAYMENT

(37 C.F.R. 1.97(d)-before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR 1.98:

I. A copy of each listed U.S. Patent [1.98(a)(2)(i)].

II. A copy of each listed foreign patent and/or published foreign patent application. For each listed foreign patent and/or published foreign patent application [1.98(a)(2)]:

a) the English language version or an English translation if not published in English, if available (see PTO-1449)[1.98(a)(3)]; and/or

b) U.S. counterpart patents or English language equivalents of the foreign patents or published foreign patent applications (see PTO-1449); and/or

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- [] (c) a concise explanation of the relevance of the listed patents, publications or other information (see PTO-1449, foreign search report or other, attached), as it is presently understood by the person signing this disclosure, since no English translation is currently available[1.98(a)(3)].
- [] III. A copy of each listed publication, other than published foreign applications. For each listed publication [1.98(a)(2)]:
[] (a) an English translation, if available, when the original publication is not in the English language (see PTO-1449)[1.98(a)(3)];
[] (b) a concise explanation of the listed publications (see PTO-1449), as it is presently understood by the person signing this disclosure, since no English translation is currently available [1.98(a)(3)].
- [] IV. For any other listed information, a copy of the information or that portion which caused it to be listed (see PTO-1449)[1.98(a)(2)(iii)].
- [] V. Each listed U.S. Patent, Publication or other information that was previously cited by or submitted to the Office in a prior application, which application is relied upon for an earlier filing date under 35 U.S.C. § 120 [1.98(d)].

This information is submitted in the above-identified application in compliance with 37 C.F.R.1.97 because:

- [X] 1. This information disclosure is submitted within three months of the filing date of the application, or before the mailing date of the first office action on the merits of the application [1.97(b)].
- [] 2. This information disclosure is submitted before the mailing date of a final action in this application under 1.113[1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- [] 3. This information disclosure is submitted before the mailing date of a notice of allowance under 1.311 [1.97(c)] and the requisite statement or fee is provided (see items 6 or 7 below).
- [] 4. This information disclosure is submitted after the mailing date of a final action under 1.113 [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).
- [] 5. This information disclosure is submitted after the mailing date of a notice of allowance under 1.311, but before the payment of the issue fee [1.97(d)] and the requisite statement and fee is provided (see items 6 and 8 below).

[] 6. This information disclosure is submitted with the statement required for items 2, 3, 4 or 5 above [1.97(c), (d), (e)]:

[] I, _____, hereby state the following:

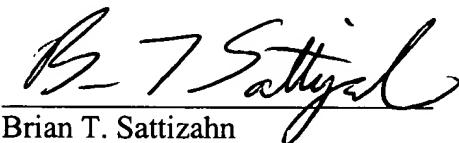
[] **Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.**

[] **No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this information disclosure was known to any individual designated in 1.56(c) more than three months prior to the filing of this information disclosure statement.**

[] 7. This information disclosure statement is submitted with the fee of \$240 set forth in 1.17(p) [see items 2 and 3 above]. Please charge this fee and any other fees associated with this application to Deposit Account No. _____. (Please note, no fee is required for items 2 and 3 above if the Item 6 statement is provided).

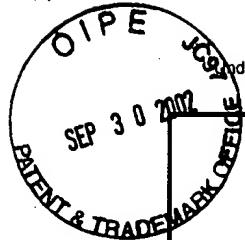
[] 8. In addition to the above statement, this information disclosure is submitted with a petition for consideration and petition fee of \$130 required by 1.17(i)(1) (see items 4 and 5 above). Please charge this fee and any other fees associated with this application to Deposit Account No. 50-1059.

Respectfully submitted,



Brian T. Sattizahn
Reg. No. 46,401

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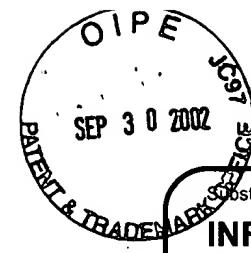
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PTO/SB/08A (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet One of One

<i>Complete if Known</i>	
Application Number	09/828,444
Filing Date	04-06-2001
First Named Inventor	Curt V. Avallone
Group Art Unit	3621
Examiner Name	F. Backer
Attorney Docket Number	20807-0003

U.S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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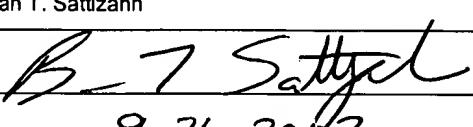
(to be used for all correspondence after initial filing)

		Application Number	09/828,444
		Filing Date	04-06-2001
		First Named Inventor	Curt V. Avallone
		Group Art Unit	3621
		Examiner Name	F. Backer
Total Number of Pages in This Submission		Attorney Docket Number	20807-0003

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
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<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	McNees Wallace & Nurick Brian T. Sattizahn
Signature	
Date	9-26-2002

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